



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q68888

Atsushi YOKOUCHI, et al.

Appln. No.: 10/091,394

Confirmation No.: 9620

Group Art Unit: 1764

Filed: March 7, 2002

Examiner: Jerry D. Johnson

For: ROLLING BEARING

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TC 1700

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

INFORMATION DISCLOSURE STATEMENT  
U.S. Appln. No.: 10/091,394

Q68888

In compliance with the requirement for a concise statement of relevance for foreign language documents, Applicants state as follows:

1. DE 693 13 740 T2

A grease composition for a high-speed rolling bearing is described.

(Patent family: Japanese Laid-Open Publication No. 06-017079 with an English abstract thereof)

2. DE 196 53 589 A1

A grease composition is described.

(Patent family: U.S. Patent 5,840,666 and Japanese Laid-Open Publication No. 09-169989 with an English abstract thereof)

3. DE 195 38 658 A1

A grease composition is described.

(Patent family: U.S. Patent 5,707,944 and Japanese Laid-Open Publication No. 08-113793 with an English abstract thereof)

The above three German patent publications were cited in an Office Action dated March 21, 2002 in a corresponding German application (submitted in the IDS of June 7, 2002).

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

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WASHINGTON OFFICE  
23373  
CUSTOMER NUMBER

Date: August 27, 2003

**Substitute for Form 1449 A & B/PTO**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(use as many sheets as necessary)*

Sheet

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Substitute for Form 1449 A & B/PTO		<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>		Application Number	10/091,394
		Confirmation Number	9620
		Filing Date	March 7, 2002
		First Named Inventor	Atsushi YOKOUCHI
		Art Unit	1764
		Examiner Name	Jerry D. Johnson
		Attorney Docket Number	Q68888

## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

## **NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>
		English language Abstract of JP 06-017079	
		English language Abstract of JP 09-169989	
		English language Abstract of JP 08-113793	

<b>Examiner Signature</b>		<b>Date Considered</b>	
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**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.